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Could Alzheimer's Disease Be a Maladaptation of an Evolutionary Survival Pathway Mediated by Intracerebral Fructose and Uric acid Metabolism?

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Abbreviations: AD, Alzheimer's disease; AMP, adenosine monophosphate; AMPD2, AMP deaminase-2; AMPK, AMP-activated protein kinase; ApoE4, Apolipoprotein E4; ATP, adenosine triphosphate; CMR_{glc}; cerebral metabolic rate for glucose; FDG-PET, [¹⁸F]-fluoro-2-deoxy-D-glucose positron emission tomography scan; HFCS, high fructose corn syrup; KHK, ketohexokinase; MCI, Mild Cognitive Impairment; NADPH oxidase, nicotinamide adenine dinucleotide phosphate oxidase OXPHOS, mitochondrial oxidative phosphorylation.

1 Abstract

2 An important aspect of survival is to assure enough food, water and oxygen. Here we describe a recently
3 discovered response that favors survival in times of scarcity, and it is initiated by either ingestion or
4 production of fructose. Unlike glucose which is a source for immediate energy needs, fructose metabolism
5 results in an orchestrated response to encourage food and water intake, reduce resting metabolism,
6 stimulate fat and glycogen accumulation, and induce insulin resistance as a means to reduce metabolism
7 and preserve glucose supply for the brain. How this survival mechanism affects brain metabolism, which
8 in the resting human amounts to 20 % of overall energy demand, is only beginning to be understood. Here
9 we review and extend a previous hypothesis that this survival mechanism has a major role in the
10 development of Alzheimer's disease, and may account for many of the early features, including cerebral
11 glucose hypometabolism, mitochondrial dysfunction, and neuroinflammation. We propose that the
12 pathway can be engaged by multiple ways, including by diets high in sugar, high glycemic carbohydrates
13 and salt. In summary, we propose that Alzheimer's disease may be the consequence of a maladaptation
14 to an evolutionary-based survival pathway, and what had served to enhance survival, acutely becomes
15 injurious when engaged for extensive periods. While more studies are needed on the role of fructose
16 metabolism and its metabolite, uric acid, in Alzheimer's disease, we suggest that both dietary and
17 pharmacologic trials to reduce fructose exposure or block fructose metabolism should be performed to
18 determine if there is potential benefit in the prevention, management or treatment of this disease. (Word
19 count 260)

20 **Key Words:** Alzheimer's Disease, Fructose, Metabolic Syndrome, Insulin Resistance, Energy Metabolism

21

22 Alzheimer's disease (AD) is currently the third leading cause of death and is characterized by
23 cognitive decline and cerebral atrophy that is associated with beta amyloid plaques and tau protein
24 aggregation (neurofibrillary tangles) in neurons. Treatments to reduce beta amyloid and/or tau protein
25 aggregation carry promise but have generally not been as successful as predicted (1), consistent with a
26 prior hypothesis (2) that more basic mechanisms may drive disease. In this regard, preclinical and early
27 manifestations of AD include reduced cerebral glucose metabolism, mitochondrial dysfunction,
28 neuroinflammation and intracellular energy depletion. These observations have led to dietary, behavioral
29 and therapeutic strategies to improve metabolic parameters with promising early results (3-5).
30 Nevertheless, the underlying mechanism(s) driving Alzheimer's, and especially the late-onset sporadic
31 variant, is not fully understood.

32 Here we extend our previous proposal that AD results from a maladaptation to an evolutionary
33 survival pathway that is used by many animals and was even essential to the survival of our distant
34 ancestors millions of years ago (6). A basic tenet of life is to assure enough food, water and oxygen for
35 survival. While acute survival responses to starvation (7) are well known, nature has also developed a
36 way to protect animals *before* the crisis actually occurs (8). We have shown this "survival response" is
37 mediated by the metabolism of fructose that is either ingested or produced in the body. While biological
38 effects of fructose metabolism, and its byproduct, intracellular uric acid, appear critical for survival of
39 many animals in nature, including our ancestors, in modern society it appears to be over-engaged,
40 increasing the risk for metabolic syndrome, obesity, diabetes, and other conditions (9).

41 A key question is how the survival response affects brain metabolism and function, as the brain
42 has high energy requirements, accounting for 20 percent of the daily adenosine triphosphate (ATP) used
43 by the body despite constituting only 2 percent of the body mass. As much of the protection of the survival
44 pathway is mediated by a reduction in systemic ATP production and usage (8), one might wonder if the
45 survival switch also involves reducing brain energy expenditure so long as critical brain function is
46 supported. Here we review evidence that suggests that the survival pathway was beneficial in reducing
47 the risk of starvation, but in today's environment may predispose us to not only obesity and diabetes, but
48 also to AD.

49 **A Survival Pathway Triggered by Fructose**

50 Many foods are known to have physiological effects in addition to their caloric content. Sugary
51 beverages, for example, are particularly associated with the development of obesity and diabetes (10)

52 and this has been proposed to be due to its fructose content (11, 12). Indeed, excessive fructose ingestion
53 can induce all components of the metabolic syndrome (13). This has been shown to be due not to its
54 caloric metabolism but rather is mediated by on the ability of fructose to raise intracellular uric acid levels
55 (which can occur despite no change in serum uric acid (14)) and to stimulate the synthesis and release of
56 vasopressin (11, 15-18).

57 Subsequent research has found evidence that excessive intake and metabolism of fructose is used
58 by animals in nature to activate a survival response that prepares animals for periods when food, water
59 or oxygen may not be adequately available (8). Specific features of the survival switch are shown in **Table**
60 **1**. In general, the mechanism involves going into a “low-power” mode in which both ATP production and
61 usage are reduced. This is accomplished by reducing energy metabolism at rest (19) while allowing
62 sufficient energy for critical activities such as foraging. Both food and water intake are encouraged by
63 stimulating hunger and arousal (likely via orexin), blocking satiety (by inducing leptin resistance) and by
64 stimulating foraging (20-22). The demand for oxygen is reduced by slowing mitochondrial respiration with
65 a shift towards glycolysis (23, 24). The storage of fat and glycogen in the liver is encouraged by both
66 stimulating their production and inhibiting fatty acid oxidation, lipolysis, and glycogenolysis (15, 25, 26).
67 Glucose metabolism in muscle is reduced by decreasing glucose uptake (via insulin resistance) and by
68 inhibiting insulin secretion from the pancreas; this both reduces total energy expenditure while providing
69 more glucose to the brain where insulin is not fully required for uptake (27, 28). Fructose also stimulates
70 the production of vasopressin in the hypothalamus (18) which helps conserve water by reducing loss by
71 driving urinary concentration. Vasopressin also directly contributes to the metabolic syndrome, including
72 the development of obesity, by engaging the vasopressin V1b receptor (16). The accumulation of fat by
73 vasopressin is another mechanism by which vasopressin conserves water, as fat is a source of ‘metabolic’
74 water when it is metabolized (29).

75 The cellular mechanism by which fructose induces the survival program is unique. In essence, the
76 two major simple sugars, glucose and fructose, have opposing biologic effects. Glucose is the primary fuel
77 for immediate energy demands, while fructose provides for future energy demands (**Figure 1**) (8). In
78 effect, fructose causes a shift in cell metabolism such that the energy generated from the calories ingested
79 are preferentially stored as fat and glycogen as opposed to immediate oxidation for ATP generation, a
80 maneuver that preserves energy balance.

81 The biochemical mechanism is mediated by the rapid depletion of ATP from the initial
82 phosphorylation of fructose by the enzyme fructokinase (also known as ketohexokinase or KHK) (**Figure**

83 1). The ATP levels are not immediately replenished as fructose 1-phosphate pools due to a slower flux
84 through aldolase B. The cell responds to lower ATP levels by lowering adenosine monophosphate (AMP)
85 levels to maintain the energy ratio. AMP degradation is mediated by AMP deaminase-2 (AMPD2) that
86 produces ammonia and eventually uric acid (30). Uric acid translocates NADPH oxidase to the
87 mitochondria where it causes oxidative stress, reducing fatty acid oxidation (blocking enoyl CoA
88 hydratase) while inhibiting aconitase in the citric acid cycle (15, 31). Uric acid also inhibits AMP-activated
89 protein kinase (AMPK) (25). The net effect is to switch to a low power mode in which production and
90 utilization of ATP are slowed down while intracellular ATP levels remain low (32).

91 The decline in intracellular ATP functions as an alarm, initiating processes that induce all features
92 of the metabolic syndrome (8). The three primary drivers appear to be fructose, its byproduct uric acid,
93 and vasopressin, the latter primarily from its actions on the V1b receptor. Ultimately, the activation of the
94 survival switch prepares the animal for a period of scarcity, resulting in increased body weight, enhanced
95 fat and glycogen stores, insulin resistance, elevated blood pressure, salt-sensitivity and low-grade
96 systemic inflammation (**Table 1**). This aids survival by increasing energy stores required for hibernation,
97 long distance migration, nesting or other situations in which food, water and oxygen are less available.

98 In nature, dietary fructose from excessive intake of fruit provides a major way to activate this
99 survival response, such as what occurs in the autumn when bears prepare for hibernation. However,
100 fructose is also produced in the body by the *polyol pathway* in which glucose is converted to fructose (32-
101 36) (**Figure 2**). The rate-limiting enzyme in the polyol pathway is aldose reductase, and its activity is
102 stimulated during times of stress, such as when nutrient delivery is impaired (such as from hypoxia or
103 ischemia) (32, 37), when water supplies are low (such as from dehydration, hyperglycemia and
104 hyperosmolarity) (8), or when uric acid levels are high (reflecting degradation of nucleotides and ATP,
105 suggestive of an energy crisis) (38)).

106 Most of the fructose is metabolized in the liver and intestine, although some is metabolized in
107 other tissues such as the kidney and brain. However, it is the metabolism of fructose in the liver that is
108 critical for inducing features of metabolic syndrome, as mice that have fructokinase knocked out in the
109 liver are protected from fructose-induced weight gain and metabolic syndrome (17). While intake of
110 fructose is a major way to activate the biological switch, other foods can also stimulate fructose
111 production in the body and induce features of metabolic syndrome (**Figure 2**) (14, 39, 40). These include
112 foods that provide the glucose substrate for the polyol pathway, such as high glycemic carbohydrates, and
113 foods that stimulate aldose reductase, such as salty foods and alcohol. Umami foods (especially processed

114 red meats, organ meats, shellfish, and beer that is rich in yeast extracts) also engage the purine
115 degradation pathway leading to uric acid (14, 39, 40) (**Figure 2**). These foods increase fructose production
116 in the liver as well as other organs (36, 41) , thereby activating the survival switch and inducing the
117 metabolic syndrome (14, 39, 40). Indeed, the three tastes (sweet, salt and umami) that identify
118 pleasurable foods likely developed to stimulate intake of foods that could activate the survival switch,
119 while tastes for bitter and sour help identify foods that might contain toxins (42).

120 Humans have put this biological switch into overdrive due to two historic events. First, we are
121 more sensitive to the effects of fructose because the enzyme uricase was lost in our primate ancestors
122 due to a series of mutations in the uricase gene millions of years ago, leading to higher uric acid levels (9)
123 and a greater metabolic response to fructose (43, 44). Indeed, this mutation likely provided a significant
124 survival advantage that saved our species from extinction during the seasonal starvation that occurred in
125 the mid-Miocene (9).

126 The second more proximate factor has been the dramatic rise in intake of added sugars that
127 contain fructose and glucose, such as from table sugar (sucrose) and high fructose corn syrup (HFCS) (13).
128 Western diet contains a high content of fructose (primarily from sucrose and HFCS), as well as foods that
129 stimulate fructose production (high glycemic carbohydrates, alcohol and salty foods) or that readily
130 generate uric acid (umami-rich foods), all of which engage the survival switch. Thus, many humans are
131 activating this survival mechanism intermittently and the degree of activation is influenced by the amount
132 and speed of ingestion (45) as well as genetic and environmental factors. Interestingly, whole fruits tend
133 to not activate this pathway due to relatively low fructose content in individual fruit, the presence of
134 neutralizing factors (such as fiber, vitamin C, potassium, and flavanols), and because the small intestine
135 metabolizes some fructose before it reaches the liver and brain (46).

136

137 ***Neuron Survival in the Resting and Hypoxic State***

138 The human brain requires about 20 percent of the overall energy at rest, of which most is used by
139 the neurons (70 to 80 percent) (47). The high energy needs of the neurons is accomplished by
140 mitochondrial oxidative phosphorylation (OXPHOS) of glucose which requires sufficient oxygen to be
141 present. The neurons themselves have poor back-up capacity as neurons generate very little ATP from
142 glycolysis due to an impaired ability to upregulate phosphofructokinase (48). Beta oxidation of fatty acids

143 is also limited, which may relate to the higher oxygen requirements compared to glucose oxidation that
144 would enhance the risk for local hypoxia (49).

145 The favored fuel for neurons is glucose, and there is even experimental evidence that providing
146 glucose can improve cognitive responses to challenging tasks (50). When blood glucose levels are low,
147 the neighboring astrocytes provide fuel to the neurons. Astrocytes minimize their own energy and oxygen
148 needs by relying on glycolysis, and then they provide the lactate they generate to the neurons where it is
149 used as a substrate for mitochondrial respiration (the lactate shuttle) (51). Astrocytes also store glycogen
150 that can be broken down to glucose during fasting which can provide glucose to the neuron when systemic
151 delivery is impaired (52). In addition, the breakdown of fat during fasting releases ketone bodies from the
152 liver that can be used by neurons to generate acetyl CoA that can assist mitochondrial respiration,
153 although this fallback strategy provides only 60 percent of the energy needs of the brain (53).

154 The astrocyte has a key role in neuronal health in the setting of food or oxygen deprivation.
155 Indeed, mild hypoxia upregulates glycolysis in cultured astrocytes while decreasing mitochondrial
156 respiration (54). This is linked with activation of the transcription factor HIF1 α with stimulation of fructose
157 metabolism and insulin resistance pathways (54). However, if stress is further increased, both glycolysis
158 and OXPHOS are inhibited, which can lead to death of the astrocyte. Experimental studies suggest that
159 astrocytes can survive when incubated with A β amyloid by increasing glycolytic activity, but if glycolysis is
160 blocked, then astrocytes develop reactive gliosis and die by apoptosis while A β amyloid further
161 accumulates (55).

162

163 ***Fructose and Alzheimer's Disease***

164 The fructose survival pathway helps preserve critical brain functioning during the period of
165 starvation by inducing systemic insulin resistance that preferentially provide glucose to the brain (**Table**
166 **3**). The pathway also stimulates foraging that costs energy, but this is made up by reducing resting energy
167 metabolism. But given that the significant energy needs of the brain, how does this pathway affect
168 cerebral energy metabolism?

169 Interestingly, foraging requires the inhibition of metabolism in various areas of the brain. Foraging
170 requires rapid assessment (limiting deliberation), impulsivity (limiting self-control and reasoning),
171 exploratory behavior and risk taking (limiting recent memory). Foraging does require stimulation of the
172 anterior cingulate cortex and visual (occipital) cortex (56, 57). The anterior cingulate is also involved in the
173 hunger response to fasting (58). However, foraging is enhanced by inhibiting activity in cortical regions

174 involved in control and reasoning, by inhibition of the posterior cingulate cortex involved in
175 disengagement from foraging (59) (60), and by blocking attention to time (entorhinal cortex). Inhibition
176 of recent memory (hippocampus and entorhinal cortex) also lessens the resistance to enter areas known
177 to be dangerous as does inhibition of the prefrontal cortex involved in self-control. Thus, the stimulation
178 of foraging is coupled with regional reduction in brain energy metabolism, which would also conserve
179 energy in settings where food availability is low (**Table 2**).

180 Several studies have evaluated the contrasting effects of fructose and glucose on brain
181 metabolism and the foraging response (61-63). Comparing fructose and glucose responses is difficult, for
182 as mentioned, glucose can be converted to fructose in the body and vice versa (39, 64). Indeed, if glucose
183 is administered to maintain serum glucose levels 200 mg/dl, fructose levels increase in the brain,
184 beginning around 30 minutes and peaking at 2 hours (65). However, the studies that evaluated the
185 differences between fructose and glucose on cerebral metabolism using bold MRI were performed early
186 (around 15 minutes) thus making it more likely to reflect true differences between fructose and glucose.
187 Here, the striking finding was that fructose reduced blood flow to the posterior cingulate cortex, the
188 hippocampus, the thalamus, and the occipital cortex (61), although blood flow increased to the area of
189 the visual cortex associated with food reward (63). Cortical blood flow also decreased (62). Fructose
190 administration also stimulated hunger and desire for food (63). These responses are consistent with a
191 stimulation of the foraging response. In contrast, glucose inhibited blood flow to hypothalamus,
192 thalamus, insula, anterior cingulate, and striatum (61), while stimulating blood flow to the cortex (62).
193 These responses are expected to inhibit not only the foraging response but responses involving appetite
194 and reward.

195 One of the earliest findings in AD is a reduction in glucose metabolism and intracellular ATP levels
196 in the hippocampus, entorhinal cortex, posterior cingulate cortex, and middle temporal gyrus. In contrast,
197 studies of AD show that the anterior cingulate and occipital cortex are typically spared (66). This
198 corresponds very well to the regions affected by fructose and are in opposition to that observed with
199 glucose.

200 Our hypothesis is that the fructose-dependent reduction in cerebral metabolism in these regions
201 was initially reversible and meant to be beneficial. But chronic and persistent reduction in cerebral
202 metabolism driven by recurrent fructose metabolism leads to progressive brain atrophy and neuron loss
203 with all of the features of AD.

204 ***Evidence for Intracerebral Fructose Metabolism as a Contributor to AD***

205 **The Brain can Generate and Metabolize Fructose**

206 Our hypothesis suggests local fructose generation and metabolism may be the critical factor for
207 how fructose induces AD since normally only 1 to 2 percent of ingested fructose reaches the brain (67).
208 Indeed, the brain is capable of producing fructose. As mentioned earlier, simply raising blood glucose
209 levels increases brain fructose levels in healthy humans (65). Raising serum osmolality in mice by
210 dehydration or salty food also stimulates fructose production in the brain (hypothalamus) (18). Dietary
211 fructose may also increase fructose production in the brain, possibly by raising brain uric acid levels. For
212 example, acutely raising serum uric acid increases uric acid in both the hypothalamus (40) and the
213 hippocampus (68, 69) in association with local inflammation. In turn, uric acid stimulates fructose
214 production and metabolism (36, 70).

215 The brain also expresses both fructokinase and AMP deaminase 2 (71, 72). Fructokinase (KHK)
216 activity is high in the brain, and the injection of fructose into the hypothalamus of rats causes local ATP
217 depletion and hunger (71, 73). Interestingly, most of the KHK appears to be the A isoform (74). While this
218 isoform does not typically induce ATP depletion in the liver, the relatively lower affinity for fructose-1-
219 phosphate by the aldolase isozymes present in the brain (A & C) (75) make it likely that fructose-1-
220 phosphate will accumulate in the brain, leading to local phosphate depletion with activation of AMP
221 deaminase, uric acid generation, and the subsequent reduction in ATP.

222 **Risk Factors for Alzheimer's Disease are Associated with Fructose Metabolism**

223 The risk for AD is known to be increased by diets high in table sugar (sucrose) or high fructose
224 corn syrup (76-78), high glycemic carbohydrates (78, 79), salty foods (80, 81) and alcohol (82). Likewise,
225 processed meats rich in umami also increase the risk for dementia (83, 84). All of these foods are
226 associated with fructose production or direct engagement of the fructose survival pathway (14, 39, 40,
227 85).

228 Aging is also associated with AD. Since diets high in carbohydrates and salt characterize much of
229 the population, chronic endogenous fructose production could potentially explain this association.
230 Consistent with this hypothesis, chronic intake of a diet containing 50 percent carbohydrates caused
231 aging-associated kidney disease despite being low in sugar (<5%) but was nevertheless completely
232 prevented in mice unable to metabolize fructose (KHK-knockout mice) (86). This suggests that long-term

233 intake of western diet, which typically contains 50 percent carbohydrates, might also generate enough
234 endogenous fructose to increase the risk for AD. Other risk factors for AD includes obesity, metabolic
235 syndrome, insulin resistance, and diabetes (87-94), which are all conditions linked with intake of foods
236 that either contain fructose or stimulate fructose production. Traumatic brain injury is a risk factor for AD
237 and is expected to be increased local fructose production due to the local ischemia. Indeed, hypoxia
238 stimulates fructose metabolism in astrocytes (54). Likewise, in ischemic contused spinal cords in rats,
239 there is local activation of the polyol pathway that mediates neuronal inflammation and loss (95).

240 **Fructose is Elevated in the Brain of AD Patients**

241 There is also evidence that fructose production and metabolism is increased in the brains of AD
242 patients, especially early in the disease before marked neuron loss and atrophy. One study used mass
243 spectrometry to measure components of the polyol pathway in post-mortem regions of the brains of nine
244 AD subjects and nine age-matched controls. Sorbitol and fructose levels (both components of the polyol
245 pathway) were significantly elevated, averaging 3 to 5-fold more in all regions of the brain studied,
246 including the hippocampus, entorhinal cortex, middle temporal gyrus, cingulate cortex, sensory and
247 motor cortex, and cerebellum (**Figure 3**) (96). One control subject also had high levels of fructose and
248 sorbitol, but while the patient had no pre-mortem evidence of dementia, she had preclinical AD as noted
249 by low brain weight and Braak Stage II histopathologic changes (96).

250 Fructose metabolism produces large amounts of lactate (97) in addition to consuming ATP (30).
251 This is associated with AMP accumulation that is metabolized by AMPD2 to generate ammonia,
252 hypoxanthine and, eventually, uric acid (**Figure 1**). Of interest, the brains of individuals with AD have
253 increased expression and activity of AMPD2 with no change in AMP deaminase-3 (72). Early AD is also
254 associated with the release of ammonia but this eventually falls as disease progresses (98, 99). Likewise,
255 lactate levels are 4-fold higher in the brains of subjects with early AD (99).

256 A metabolomic study of cerebral spinal fluid (CSF) found high hypoxanthine and xanthine levels
257 in subjects with mild cognitive impairment (MCI) compared to controls, and xanthine was also higher in
258 subjects with AD(100). Uric acid levels were also 25% higher in MCI subjects compared to normal controls,
259 and uric acid correlated with total tau protein when controls, MCI and AD measurements were combined
260 (100). Another study confirmed a positive association of serum uric acid with impaired cognitive function
261 (determined by testing with the mini-mental state examination) in subjects with MCI (101). In contrast,
262 subjects with AD appear to have lower brain uric acid levels than controls (102).

263 The observation that brain (or CSF) uric acid levels are higher in MCI and decrease as disease
264 progresses may be explained by the progressive decrease in intracellular ATP production associated with
265 progressive impairment in mitochondrial function. Since uric acid is generated largely from the
266 degradation of ATP, there will be less uric acid made as ATP production and turnover decreases. Indeed,
267 there is a decrease in brain ATP levels of about 7 percent in early AD that progressively worsens over time
268 (103). This might constitute a negative feedback system in an otherwise positive feedback system. Indeed,
269 we found that fructose induced less of a rise in uric acid in individuals with type 2 diabetes and obesity
270 that could be explained by lower intracellular ATP production and turnover (104).

271

272 **Could Fructose Metabolism Contribute to Cerebral Glucose Hypometabolism and**
273 **Mitochondrial Dysfunction in AD?**

274 Cerebral glucose hypometabolism and Mitochondrial Dysfunction in AD. An early finding in AD is
275 a reduction in the cerebral metabolic rate for glucose (CMR_{glc}) as measured by [¹⁸F]-fluoro-2-deoxy-D-
276 glucose positron emission tomography scan (FDG-PET) (99, 105-107). The primary sites involved are the
277 hippocampus, enterorhinal cortex, and the parietal, temporal and posterior cingulate cortex (105, 108).
278 This is associated with a 50 percent reduction in ATP production from glucose metabolism and overall a
279 20 percent reduction in brain ATP production (109).

280 One mechanism for the hypometabolism is decreased glucose uptake (108). This is mediated in
281 part by a reduction in GLUT1 in astrocytes and GLUT3 in the neurons of the AD patients (110, 111). While
282 much of the brain does not require insulin for the uptake of glucose (112, 113), certain regions in the
283 brain, such as the hippocampus, the hypothalamus, the striatum, and the parietal and frontal regions of
284 the cerebral cortex are largely influenced by insulin (107, 114). The main glucose transporter that is
285 insulin-dependent is GLUT4, and it is expressed in neurons in the hippocampus, hypothalamus,
286 sensorimotor cortex and cerebellum (110). In AD there is both a reduction in insulin and insulin receptor
287 A (IR-A) associated with insulin resistance (110, 115, 116). Impairment in GLUT4 function occurs as a
288 consequence and this has a role in impairing cognitive function, especially in the hypothalamus (50).

289 While decreased glucose uptake is one mechanism for reduced glucose metabolism, AD is also
290 associated with a decrease in activities of enzymes involved in glucose metabolism, including
291 phosphofructokinase, phosphoglycerate mutase, aldolase, glucose-6-phosphate isomerase and lactate
292 dehydrogenase (110) which could reflect adjustment to a low ATP state. These findings are relevant as

293 the resting state FDG-PET does not distinguish between a reduction in the availability of glucose or
294 reduced use (demand). The possibility that the latter may be more important than commonly recognized
295 is that two studies have actually measured glucose levels in AD and both found local glucose levels to be
296 high (96, 111). Furthermore, when FDG-PET scan was performed with cognitive stimulation in subjects
297 with early AD, one could demonstrate increased CMR_{glc} as well as blood flow (117). This suggests that
298 reduced glucose metabolism is only partially due to reduced glucose delivery (105).

299 The relevance of this finding is that the survival switch suppresses ATP production with a focus on
300 reducing energy demands at rest but not when active (foraging) (19). If the system is analogous to the
301 brain, one would also expect that fructose might similarly lower resting brain ATP levels but retain capacity
302 to increase brain ATP levels in response to challenging tasks. Further, reducing glucose metabolism with
303 high levels of glucose being present due to reduced metabolism would allow plenty of substrate for
304 fructose generation via the polyol pathway.

305 Cerebral glucose hypometabolism in AD is also associated with changes in energetics and
306 mitochondrial metabolism. Astrocytes, which normally generate two thirds of their ATP equivalents by
307 glycolysis (118) show reduced glycolysis, with decreased lactate production (51) and progressive
308 senescence (119). Neurons also reduce ATP production due to a decrease in OX-PHOS (51). This also
309 occurs in aging (120, 121). Neurons may produce some energy by glycolysis (at least in aging), as lactate
310 uptake from neighboring astrocytes may be impaired due to a reduction in lactate transporters
311 (monocarboxylate transporter proteins) in the neurons (122).

312 Oxidative stress is also increased in AD, as noted by accumulation of malondialdehyde (123), and
313 is associated with mitochondria oxidative stress and mitochondrial loss (124). Microglia are also
314 converted from M2 macrophage-type cells (that use mitochondrial OXPHOS) to inflammatory M1-type
315 macrophages that utilize glycolysis(47) , thereby contributing to local neuroinflammation (125).
316 Interestingly, peripheral white cells in AD patients show reduced aconitase, which would reduce activity
317 of the citric acid cycle critical for ATP production (126). A reduction in aconitase is a characteristic
318 consequence of fructose metabolism (15, 31).

319 The administration of fructose to laboratory animals can also induce similar changes in the brain
320 as observed in early AD (**Table 3**). For example, both fructose (127-129) and fructose-containing sugars
321 (130, 131) can induce an impairment of spatial memory. Rats administered fructose in drinking water for
322 8 weeks develop hippocampal atrophy with reduced glucose uptake, decreased expression of

323 phosphorylated IR-A and insulin receptor substrate-1 , mitochondrial dysfunction, oxidative stress, with
324 stimulation of NFkB and inflammatory cytokines and a decrease in ATP compared to rats receiving regular
325 water (131). Giving fructose in the drinking water (10%) for a longer time (16 to18 weeks) model of AD
326 results in obesity, decreases spatial memory, increased locomotor activity, cerebral insulin resistance
327 (with low P13Kactivity and Akt levels), increased GSK3 β expression, lower acetylcholine content, and the
328 development of tau protein containing neurofilaments and A β amyloid plaques in the hippocampus when
329 compared to rats given regular water (132-134). Administration of high doses of fructose to rats is also
330 associated with greater mortality following stroke possibly due to a loss of astrocytes with greater
331 neuroinflammation with hyperphosphorylation of tau protein (135) as well as hippocampal gliosis (136).
332 Fructose administration is also associated with more beta amyloid deposition in other animal models of
333 AD (137, 138). In all of these studies the control groups were animals on regular chow.

334 Fructose has also been reported to directly inhibit mitochondrial OXPHOS in neurons and to lead
335 to toxicity in culture (139) and likewise directly injecting fructose into the hypothalamus also causes local
336 ATP depletion (140). There is also evidence that astrocytes can be affected by fructose. In one study
337 pregnant mice were given fructose and astrocytes were isolated from the infant mice. These astrocytes
338 showed suppressed expression of the GLUT1 transporters, decreased glucose uptake, decreased
339 glycolysis, decreased lactate generation and reduced glycogen stores as well as decreased mitochondrial
340 OX-PHOS and mitochondrial biogenesis (141).

341 As mentioned, fructose may induce its metabolic effects as a consequence of increasing uric acid
342 levels in the brain. Hyperuricemic rats also develop memory defects (as demonstrated with the Morris
343 water maze) associated with increased hippocampal uric acid levels and local inflammation (68, 69).
344 Inflammation in the hippocampus can also be done by stereotactic infusion of uric acid (68) and is
345 associated with hippocampal gliosis by magnetic resonance imaging, and similar findings can be observed
346 in hyperuricemic subjects (68). The ability of uric acid to induce inflammation in the hippocampus is also
347 consistent with a study showing that uric acid induces oxidative stress in neuronal-derived cells (142).

348

349 **Other Supporting Data**

350 Apolipoprotein E4 polymorphism. The Apolipoprotein E4 polymorphism (ApoE4) is a major risk
351 factor for AD, raising the question of how it relates to the fructose hypothesis. Of interest, ApoE4 carriers
352 showed reduced cerebral glucose metabolism by positron emission testing and also show reduced uptake

353 of glucose into astrocytes (143). ApoE4-derived astrocytes also show enhanced glycolysis despite less
354 mitochondrial OX-PHOS and worse mitochondrial dysfunction compared to ApoE2 or ApoE3 astrocytes
355 (143). The relative similarities in fructose effects on the brain with that observed with the ApoE4
356 polymorphism suggest parallel pathogenic mechanisms.

357 Species-specificity of AD. AD is relatively specific to humans, for while some primates show
358 evidence for beta amyloid deposition in the brain, aggregated tau proteins are absent (144). However,
359 hibernating ground squirrels have been observed to have paired helical filaments (neurofibrillary tangles)
360 of phosphorylated tau in the brain during hibernation and this is reversible following arousal in the spring
361 (145). Given the observed associations of fructose (135) and uric acid (100) with tau protein accumulation,
362 it raises the possibility that the tau protein could be a response that initially provides some protection
363 during hypoxia.

364 Studies on Brain Insulin Receptor Knock-out Mice. Our hypothesis suggests that fructose acts to
365 block brain glucose metabolism to aid survival by reducing total energy needs, stimulate effective
366 foraging, and increasing weight, but if severe and prolonged would lead to brain atrophy and possible
367 dementia. It is thus of interest that blocking insulin signaling in the brain can extend the life span of
368 *Drosophila* and *C. elegans*. Selectively knocking out insulin receptor substrate-2 (Irs2) in the brain of mice,
369 for example, extends life-span coupled with the development of obesity and insulin resistance (146).
370 However, the knockout mice have reduced brain size (30%). In contrast, heterozygous mice lacking Irs2
371 live longer than normal mice but still develop metabolic complications although they do not develop the
372 reduction in brain size (146).

373

374 **Challenges and Limitations**

375 If Uric Acid is Important in Driving Alzheimer's Disease, Why is it Low in AD Patients?

376 Numerous studies have reported that AD subjects have low serum uric acid levels suggesting this
377 might be important to the pathogenesis (147). However, while serum uric acid may reflect fructose
378 metabolism, it also is a general marker of nutrition status (148). Clinical manifestations of AD are often
379 preceded by significant weight loss (125, 149, 150) that may account for the lower serum uric acid levels
380 on presentation of AD. This may also explain why obesity predicts AD in mid-life, but actually protects
381 from AD late in life (151).

382 Some individuals with AD also lose excessive amounts of uric acid in their urine due to a defect in
383 the proximal tubule. In one study of 18 randomly selected individuals with AD, one-third had abnormally
384 high urate excretion (defined as a fractional excretion of uric acid of > 10 percent) (152). Interestingly, this
385 finding may reflect activation of the polyol-fructose pathway in the kidney (153, 154).

386 Serum uric acid may also not reflect intracellular or brain uric acid levels. For example, certain
387 foods such as salt will increase liver uric acid levels that reduce hepatic ATP levels despite no change in
388 serum uric acid (14).

389 One way to resolve the controversial epidemiological data on whether uric acid is associated with
390 increased risk (155, 156) or lower risk (157) of AD is to evaluate the effect of lowering uric acid levels on
391 incident dementia. Here studies found that uric acid-lowering therapy reduced the risk of dementia
392 compared to subjects with untreated gout (158-160). In one study, the use of febuxostat (a xanthine
393 oxidase inhibitor) reduced the risk for dementia by 80 percent (160). Another study reported a dose-
394 dependent relationship with higher doses of allopurinol and febuxostat providing greater protection
395 (161).

396 What about the Evidence that Uric acid is an Antioxidant?

397 Uric acid can function as an antioxidant and block peroxynitrite (162). This observation has
398 suggested that uric acid might be beneficial, especially in Parkinson's disease and multiple sclerosis.
399 However, clinical trials in which serum uric acid was raised by administering inosine were negative in both
400 of these diseases (163, 164). Furthermore, the use of inosine is problematic, for while it increases serum
401 uric acid, inosine can enter the purine salvage pathway to stimulate ATP production (165). Some
402 investigators have administered allopurinol with inosine to block uric acid formation as this encourages
403 more of the inosine be used to increase ATP levels, and some preliminary studies suggest a benefit of this
404 approach in Parkinson's disease (166).

405 If AD is driven by fructose, shouldn't it be Increasing In Parallel with Obesity and Diabetes?

406 Given that the risk for AD is increased by western diets, as well as by obesity and diabetes, one
407 might predict that that the sporadic (nonfamilial) form of AD should have increased dramatically during
408 the twentieth century. Unfortunately, there are not good data to know whether this is actually the case.
409 While AD was reported infrequently in the early twentieth century, it was initially thought to be distinct
410 from 'senile' dementia. Nevertheless, there is evidence from insurance companies, such as Blue

411 Cross/Blue Shield, that early onset Alzheimer's Disease increased dramatically between 2013 and 2017
412 (167). Today Alzheimer's disease affects 10 percent of subjects in the USA over age 65 (168).

413

414 ***Summary and Future Treatment Options***

415 Here we suggest that the effects of fructose on the brain were originally to stimulate foraging and
416 reduce cerebral energy demands. While the pathway was meant to be beneficial, the mutation in uricase
417 amplified the switch, while the introduction of western diet provided ample fuel to put it in high gear,
418 with the result that the attempt to conserve energy has led to a severe reduction in the energy required
419 to maintain the needs of the neurons. Indeed, the wandering response, which is so common in AD (169),
420 may signify a persistent foraging response despite massive neuronal loss.

421 While available data supports our hypothesis(es), further studies are needed, particularly with a
422 focus on individuals at risk, on individuals with MCI, and on subjects with early AD. Treatment trials that
423 interrupt the pathway, including by nutraceuticals, drugs that are currently available (132-134, 160) and
424 future therapeutics, represent an important opportunity. Given that the fructose hypothesis can provide
425 a complete pathway from inception to end-stage AD, there is a compelling need for further investigation
426 on the role of fructose and diet in this condition.

427

Journal Pre-proof

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Table 1 Features of the Survival Switch. The primary goal is to protect animals from shortage of water, food, and oxygen.

| Features | Mechanism | Consequence |
|--|--|---|
| Hunger | Stimulation of Orexin Low Hepatic ATP Leptin Resistance | Increased Energy Intake |
| Thirst | From an Increase in Serum Osmolality | Increase Water Intake Increase Serum Vasopressin |
| Foraging | Inhibition of Glucose Metabolism in Regions of the Brain | Maximize the Finding of Food |
| Reduced Resting Energy Metabolism | Suppression of mitochondrial ATP production with stimulation of glycolysis | Decreased Resting Energy Metabolism |
| Fat Storage | Stimulation of lipogenesis, inhibition of fatty acid oxidation, inhibition of lipolysis | Fat accumulation in adipose, blood and liver |
| Maintain Energy Delivery to the Brain | Reduce glucose utilization by muscle with deference for the brain | Insulin Resistance |
| Support the Circulation to Assure Nutrient Delivery | Increase BP by Vasoconstriction Increase Salt Absorption in Gut and Salt Reabsorption by Kidney | Raise Blood Pressure Induce Salt-sensitivity |
| Heighten Innate Immune Response | Stimulate low grade systemic inflammation | Increase Uric acid and inflammatory biomarkers |
| Aid Excretion of Wastes in Setting of Poor Nutrient Intake | Impair Renal Autoregulation Activation of the Renal Angiotensin System | Elevation of glomerular hydrostatic pressure to assist filtration |

KEY: ATP, adenosine triphosphate; BP, blood pressure.

Table 2 Beneficial Effects of Fructose Survival Switch on Brain Function

| | Mechanism | Outcome |
|--|--|---|
| Stimulate Hunger | Stimulate Orexin | Increase Food Intake and Fat Stores |
| Impair Satiety | Induce Central (Hypothalamic) Leptin Resistance | Disrupt Normal Weight Regulation |
| Induce Metabolic Syndrome | Vasopressin Synthesis and Release with engagement of V1b receptors | Stimulate Fat Production (metabolic water) and features of metabolic syndrome |
| Stimulate Foraging | Reduce Glucose Metabolism in Special Regions of the Brain | Enhance ability to find food |
| Reduce Energy Metabolism in Brain | Reduce Glucose Metabolism in Special Regions of the Brain | Help Conserve overall Energy Needs |

Key: V1b, vasopressin 1b receptor

Table 3 Parallels Between Early Alzheimer's Disease and Intracerebral Effects of Fructose Metabolism

| | Early Alzheimer's Disease | Fructose Metabolism |
|---|---|---|
| Factors Associated with Increased Risk | Diet (sugar, high glycemic, high salt) Phenotype (diabetes, obesity, metabolic syndrome) | Diet (sugar, high glycemic, high salt) Phenotype (diabetes, obesity, metabolic syndrome) |
| Factors Associated with Decreased Risk | Diet (vegetables, dairy) | Diet (vegetables, dairy) |
| Preferential Regions Affected | Hippocampus, Entorhinal Cortex, Posterior cingulate cortex, Middle temporal gyrus, Sensomotor cortex | Hippocampus, Cerebral Cortex |
| Glucose Metabolism | Decreased Cellular Uptake (decreased insulin receptors) Decreased Metabolism | Decreased Cellular uptake (decreased insulin receptors) Decreased Metabolism |
| Bioenergetics | Decreased Glycolysis (possible early stimulation) Reduced Mitochondrial Function Reduce ATP Level | Decreased Glycolysis (possible early stimulation) Reduced Mitochondrial Function Reduce ATP Level |
| Fructose Metabolic Pathways | Increased AMPD2, increased fructose and sorbitol levels, uric acid elevated in early disease | Increased AMPD2, increased fructose and sorbitol levels, increased intracellular uric acid early |

Key:

Figure Legends

Figure 1 The Fructose Survival Pathway. Fructose is metabolized by fructokinase to generate fructose-1-phosphate and then is metabolized like any caloric sugar. However, the initial phosphorylation is associated with rapid ATP consumption with a fall in intracellular phosphate that uniquely activates AMP deaminase-2, which subsequently removes the AMP to generate uric acid. In turn, uric acid induces NADPH oxidase activation in the mitochondria, leading to oxidative stress that blocks the citric acid cycle (via inhibition of aconitase) and also beta fatty acid oxidation. As mitochondrial function slows, glycolysis takes over, while uric acid also inhibits AMP activated protein kinase that reduces the ability to recover ATP. The effect is a fall in ATP in the cell, activating a survival switch that includes hunger, thirst, foraging, fat accumulation, and insulin resistance. **Key:** AMP, adenosine monophosphate; ATP, adenosine triphosphate. Yellow circles show steps that assist in lowering ATP levels in the cell.

Figure 2 How Foods and Stress Engage the Fructose Survival Pathway. Fructose can come directly from the diet (such as from added sugars containing sucrose or high fructose corn syrup) or from high glycemic carbohydrates. The latter generates glucose which can be converted via the polyol pathway to fructose due to activation of the rate-limiting enzyme, aldose reductase. Aldose reductase can be activated by salty foods, high glycemic foods or alcohol that all increase serum osmolality. In turn, the fructose then activates the switch. Interestingly, umami foods that are rich in glutamate and or purines (such as AMP or IMP) can also enter the switch distal to the fructose step. **Key:** AMP, adenosine monophosphate; ATP, adenosine triphosphate; HFCS, high fructose corn syrup; KHK, fructokinase; IMP, inosine monophosphate.

Figure 3 Evidence for Activation of the Polyol Pathway in the Brains of Alzheimer's Disease. The endogenous production of fructose can only occur from the conversion of glucose to sorbitol and then to fructose by the polyol pathway. One study found approximately four to five-fold higher levels of both sorbitol (Figure A) and fructose (Figure B) in the post-mortem brains of nine patients with Alzheimer's disease compared to a similar number of controls (96). **Key:** HIP, hippocampus; ENT, entorhinal cortex; CING, cingulate gyrus; SEN, sensory cortex; MOT, motor cortex; TEM, middle temporal gyrus; CER, cerebellum.

Figure 1

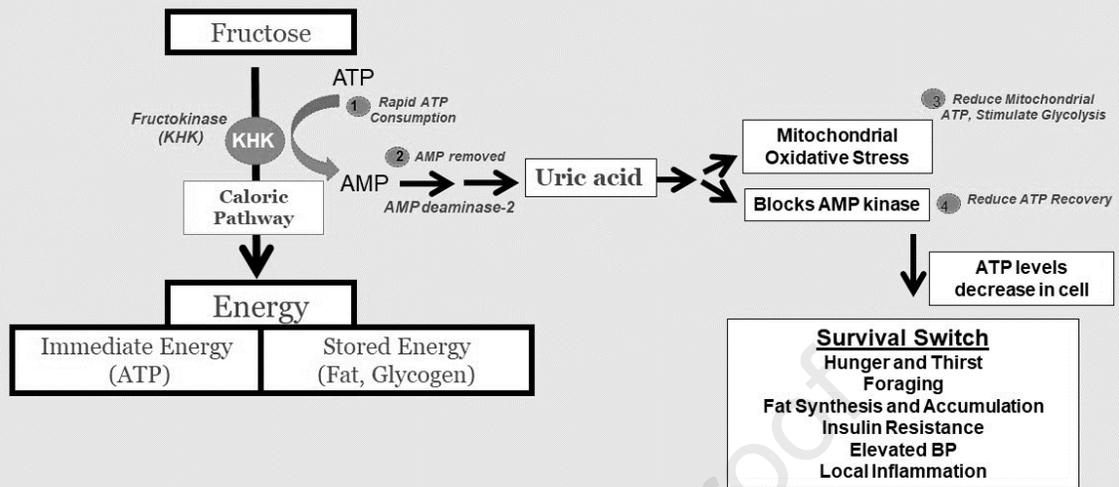


Figure 2

